

SPRING 2019 ECI BEST PRACTICE FORUM **AGENDA**

FEBRUARY 27 & 28, 2019 | PHOENIX, AZ

TECHNOLOGY IN E&C

WEDNESDAY, FEBRUARY 27, 2019

7:30 am – 8:30 am **Registration & Continental Breakfast**

8:30 am – 8:40 am **Getting Started**

Patricia Harned, Chief Executive Officer, Ethics & Compliance Initiative
Earnie Broughton, Senior Advisor, Ethics & Compliance Initiative

8:40 am – 9:30 am **Look How Far We've Come ...**

David Childers, Senior Vice President, Ethics & Compliance Initiative
Evren Esen, Vice President, Benchmarking & Analytics, Ethics & Compliance Initiative
How has technology changed in ethics and compliance and driving the evolution of our practice. This session will the findings from an ECI member survey about the use and acceptance of technology in E&C, an overview of regulations and other drivers of technology applications in compliance, what the GBES tells us about the impact of social media on organizational culture, and other emerging opportunities and challenges in this space.

9:30 am – 10:45 am **Technology Today**

Technology is innovative. It is an enabler where new business applications and industries are born, but it is also a disrupter, changing the marketplace, workplace and how business can be done. How is technology impacting business today. Do you understand how technology is changing your industry? Business? Workplace?

10:45 am – 11:05 am **Networking Break**

11:05 am – 12:15 pm **The Technology Adoption Curve**

Facilitator, David Childers, Senior Vice President, Ethics & Compliance Initiative
Sunil Bheda, Chief Product Officer, LRN
Block Chain. AI. Machine Learning. Deep Learning. Infrastructure as a service. Advances in technology are changing the way we live, work and communicate - and more is coming. This session will explain some of the advances that are becoming buzz words in modern media, but what do they mean? How will they impact how business gets done? What are the potential consequences (intended and unintended) that E&C practitioners should be thinking about now?

12:15 pm – 1:15 pm **Lunch**

1:15 pm – 1:30 pm **ECI Integrity Leader Spotlight**

1:30 pm – 3:00 pm **The Changing State of Technology in E&C**

Facilitator, David Childers, Senior Vice President, Ethics & Compliance Initiative
Philip Winterburn, Chief Strategy Officer, Convercent
Technology has become a part of the landscape in every component of ethics and compliance programs. At the same time, it presents challenges to E&C leaders - is technology appropriate for a particular application or process, how will we pay for it and justify the investment, how will we know if it is effective, and how must data and process governance change to accomodate these powerful tools? These are just some of the issues we consider through a panel of practitioners and interactive dialogue among forum attendees.

3:00 pm – 3:20 pm **Networking Break**

WEDNESDAY, FEBRUARY 27, 2019 CONT'D

3:20 pm – 5:00 pm	ECI's Technology in E&C Showcase: Large Scale and Low Budget Projects E&C Practitioners share their innovative uses of technology to enhance the effectiveness of their E&C programs. LARGE SCALE: Allstate – Redeploying a Customer Service Tool to Manage E&C Case Activity Convercent – Productivity Tools to Enhance the Power of One Eli Lilly and Company – Enhance Ownership and Accountability through a Robust Integrated Risk Dashboard USAA – Automated Conflict of Interest Disclosure and Resolution LOW BUDGET: ATT – Empower Your Subject Matter Experts through Chatbots GSK – Using Chatbots Driven by Artificial Intelligence to Communicate Policies Louis Berger – Tying E&C to Business Processes through Technology PG&E – A Code of Conduct App You Can Talk To Rolls Royce – Creating an Electronic Code of Conduct Accessible Across Multiple Platforms US Bank – Ethics Video Messages and Just-In-Time Interventions
5:00 pm – 5:30 pm	Transition & Email Break
5:30 pm – 7:00 pm	Reception

THURSDAY, FEBRUARY 28, 2019

7:30 am – 8:20 am	Registration & Continental Breakfast
8:20 am – 8:30 am	Getting Started
8:30 am – 8:45 am	ECI Integrity Leader Spotlight
8:45 am – 9:30 am	ECI Working Groups Two ECI Working Groups will present their findings related to E&C in Technology and The Implications of Artificial Intelligence in E&C.
9:30 am – 10:30 am	Managing the Risks Guido van Drunen, Forensics Group, KPMG LLP Victoria Sweeney, Principal, Ethics & Compliance, KPMG LLP This session will introduce the various unintended consequences of technological change, how to recognize the E&C risks, and how to mitigate the impact.
10:30 am – 10:50 am	Networking Break
10:50 am – 12:30 pm	What Do I Do Now? Preparing Your E&C Program for the Future Marvin Sauer, Principal, Plante Moran Adam Rijan, Partner, Plante Moran During this session we will work together to apply what we have learned so far. How do you determine what technology you need? How do you assess the application of technology your company already has? How do you make the case for technology investment to senior management?
12:30 pm – 1:30 pm	Lunch
1:30 pm – 1:45 pm	ECI Integrity Leader Spotlight
1:45 pm – 3:00 pm	Technology & Workplace Culture Vipula Gandhi, Managing Partner Gallup How will technology change the workplace and how do we best prepare for challenges ahead?
3:00 pm – 3:15 pm	Conclusion & Next Steps