

# The Independent Monitoring and Assessment Experts

## Services

- Independent Integrity and Compliance Monitoring
- Merger Conditions Monitoring
- Suspension and Debarment Monitoring
- Ethics and Compliance Assessments
  - Program Reviews
  - Cultural Assessments
  - Education Programs

## Industries Served

- Antitrust Trade Regulation
- Consumer Protection
- Government Contracting
- Healthcare
- Construction
- Energy
- Financial Services
- Manufacturing
- Education
- Corrections
- Environmental
- Telecommunications

Founded in 2004, Affiliated Monitors, Inc. ("AMI") was the first company in the United States to focus on providing independent integrity monitoring and assessment services across a wide range of regulated industries and professions. We have handled over 800 matters for U.S. and international corporations involving government contractors, nonprofits, wholesale and retail establishments, small-to-large businesses, and individual licensed professionals.

AMI helps companies facing ethics and compliance challenges that may put them at risk for suspension, debarment, fines or reputational damage. With increasing scrutiny by government regulators, many businesses and their attorneys know that a strong, values-based ethics program can reduce the possibility of costly mistakes.

**independence:** Providing objective, third-party perspectives on ethics and compliance programs, ethical cultures, internal processes and controls

**integrity:** AMI is a trusted resource for professionals, companies' legal counsel, and government agencies

**experience:** Drawing on years of work in government, ethics, law enforcement, compliance, and risk management

**mission:** Bringing integrity to the monitoring process to help companies strengthen their ethical cultures and compliance activities and demonstrate corporate responsibility to government oversight agencies

## Assessing and Demonstrating Corporate Due Diligence

Businesses engage AMI:

- **Proactively** - to determine the strength of an ethics and compliance program, identify areas of risk, and demonstrate corporate due diligence.
- **By Agreement** - when a deferred prosecution, non-prosecution, or settlement agreement requires ongoing integrity or compliance monitoring.
- **Under a Corrective Action Plan** - for independent review of an ethics and compliance program, internal controls, and ethical culture.

## Benefits of Independent Monitoring

- Allowing businesses to continue normal operations while remediating identified problems
- Providing objective feedback and making clear, effective recommendations for improvement
- Increasing public confidence in regulated companies

## Compliance Expertise

AMI has been the integrity monitor in matters ranging from large multi-national companies to solo practices. In addition to work throughout the U.S., we have served clients in Europe, the Middle East, Asia, Africa and South America. Government agencies rely on AMI's independence; we do not represent clients or engage in other business activities that could create a conflict of interest.

# The Independent Monitoring and Assessment Experts

Meet some of AML's team members, who represent a variety of backgrounds and talents, and a singular commitment to the value of independent assessment and monitoring.



## **Vincent L. DiCianni**

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*President and Founder – Boston, MA*

- > Lead monitor in white collar, federal and state contracting and licensing matters
- > Civil attorney with 28 years of experience in litigation, tort, contract, construction, employment, and civil rights law
- > Former Assistant Attorney General, Commonwealth of Massachusetts



## **Eric R. Feldman, CFE, CIG, CCEP-I**

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*SVP and Managing Director, Corporate Ethics and Compliance Programs – Los Angeles, CA*

- > Lead monitor in DOJ, judicial, and regulatory matters
- > Recognized expert in assessing corporate ethics and compliance programs
- > Driving force behind FAR amendments mandating disclosure of contractor fraud and implementation of business ethics programs for federal contractors
- > Former: Executive with Offices of Inspector General at DOD, Defense Intelligence Agency, and CIA; Inspector General, National Reconnaissance Office



## **Donald K. Stern**

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*Managing Director, Corporate Monitoring and Consulting Services – Boston, MA*

- > Lead monitor in compliance with judicial orders and administrative agreements
- > Member and Past President of NAFUSA; Past Co-Chair ABA/DOJ Liaison Committee
- > Former: US Attorney for the District of Massachusetts; Chief Legal Counsel to the Governor of Massachusetts; Assistant Attorney General, MA; and partner at three national law firms, managing complex civil, criminal, and regulatory matters



## **Dionne C. Lomax**

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*Managing Director, Antitrust and Trade Regulation – Boston, MA / Washington, DC*

- > National expert in complex antitrust regulatory matters
- > Recognized healthcare antitrust expert in M&A and other complex transactions
- > Law Professor & Faculty, Boston University Questrom School of Business
- > Former: Trial Attorney, U.S. Department of Justice Antitrust Division; Partner at two national law firms representing clients before the DOJ, FTC, and various state attorneys general in connection with mergers, acquisitions, joint ventures and other commercial arrangements



## **Rodney A. Grandon**

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*Managing Director, Monitoring Services – Washington, DC*

- > Corporate monitor and project manager
- > Over 25 years of government contract law experience in public and private sectors
- > Council Member, ABA Public Contract Law Section and Vice-Chair of Suspension & Debarment Committee
- > Former: Member of United States Senior Executive Service; Air Force Suspending and Debarring Official; and Vice-Chair of the Interagency Suspension and Debarment Committee



## **Mikhail Reider-Gordon, LL.M., CCEP**

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*Managing Director, Institutional Ethics & Integrity – Los Angeles, CA*

- > A leading expert in anti-corruption, white-collar crime investigations and enforcement, including cartel activity, accountability and transparency, and institutional ethics
- > Law Professor & Faculty, Int'l Anti-Corruption Academy; Council Member & Officer, ABA-ILS
- > Former: On behalf of numerous Fortune 500 companies, developed regulatory compliance programs consistent with the Sherman Act, related US antitrust laws and EU anticompetition authority regulations



## **Jesse M. Caplan**

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*Managing Director, Corporate Oversight – Boston, MA*

- > Recognized expert on organizational success through corporate integrity, ethics and compliance
- > Lead monitor of conditions imposed on hospital systems and addiction treatment facilities
- > Former: General Counsel, MA Executive Office of Health and Human Services; Chief Legal Counsel, Fallon Health; Chief, MA Attorney General's Office, Consumer Protection & Antitrust Division; Attorney General, Republic of Palau; Trial Attorney, US Dept of Justice, Antitrust Division; and 10+ years with national law firms Epstein Becker & Green and Fried Frank