ECI

Assess all aspects of your Ethics & Compliance (E&C) program to spot gaps and benchmark in crucial performance areas with key constituents.

An E&C program at the Optimizing level can boost culture strength by 546%.[1]

ECI's Program Assessment allows you to map out where you are in your journey toward excellence and plot the road ahead.

- Identify your program's strengths and weaknesses;
- Map your E&C program's maturity level;
- Build a foundation for continuous improvement;
- Provide tools to engage and inform company leadership and boards;
- Document over time to track your results in a tangible, easy-to-interpret report.

CREATED BY E&C PROFESSIONALS FOR E&C PROFESSIONALS.

THE ECI PROGRAM ASSESSMENT SOLUTION:

- Is administered by ECI, quickly and efficiently.
- Allows you to compare your program to other organizations.
- Identifies where your E&C program falls on the maturity model so that you can plan for growth.
- Identifies strengths and weaknesses of your E&C program based on the principles of the ECI Framework for Excellence that were developed by E&C expert practitioners and academics.
- Tracks your program success and improvement over time by re-assessing your E&C program year-over-year to track progress and determine the efficacy of your efforts.

ECI
Framework for E&C Excellence

Program Principles

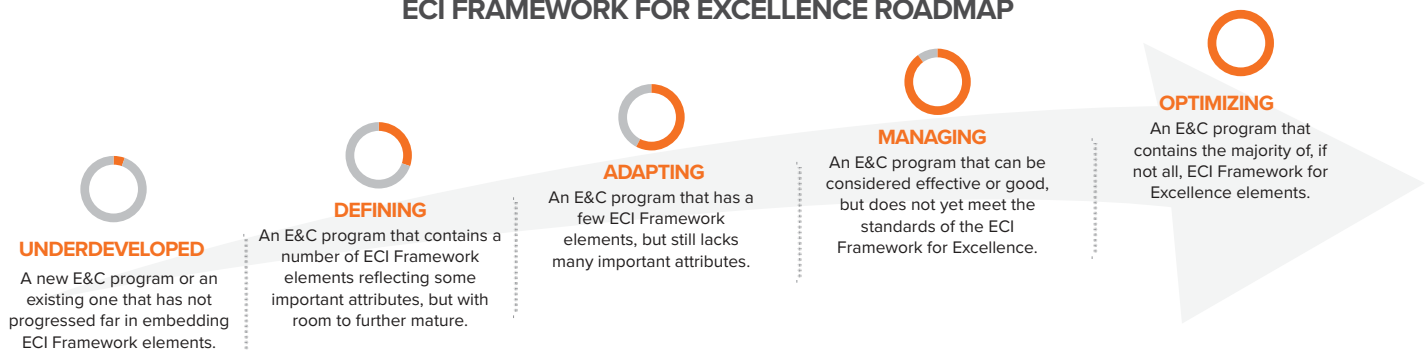**STRATEGY**
E&C is central to compliance program strategy.

RISK MANAGEMENT
Risks are identified, owned, managed and mitigated.

CULTURE
Leaders at all levels build and sustain a culture of integrity.

SPEAKING UP
The organization encourages, protects, and values the reporting of concerns and suspected wrongdoing.

ACCOUNTABILITY
The organization acts and holds itself accountable when wrongdoing occurs.

ECI FRAMEWORK FOR EXCELLENCE ROADMAP

UNDERDEVELOPED
A new E&C program or an existing one that has not progressed far in embedding ECI Framework elements.

DEFINING
An E&C program that contains a number of ECI Framework elements reflecting some important attributes, but with room to further mature.

ADAPTING
An E&C program that has a few ECI Framework elements, but still lacks many important attributes.

MANAGING
An E&C program that can be considered effective or good, but does not yet meet the standards of the ECI Framework for Excellence.

OPTIMIZING
An E&C program that contains the majority of, if not all, ECI Framework for Excellence elements.

For more information on ECI's Program Assessment solution, contact **AdvisoryServices@ethics.org** or visit **ethics.org/hqp.**

[1]Based on research conducted by ECI and reported in the Global Business Ethics Survey report, Measuring the Impact of E&C Programs (2018).