

# NAVEX ESG Conflict Minerals

## *Lifecycle Management of US and EU Conflict Minerals*

A global responsibility and social awareness effort is underway. In an effort to help defund human rights abuses and armed militias causing violence, authorities are encouraging and regulating the procurement actions in the Congolese region of Africa and other Conflict Affected and High Risk Areas (CAHRAs). Conflict Minerals laws in the EU, US and growing number of US states are compelling businesses to monitor, report and possibly change business processes to share the values of citizens and consumers.

Addressing the management of conflict minerals in an automated and defensible process that aligns with the Organisation for Economic Cooperation and Development (OECD) due diligence processes helps create a culture of trust and respect from your employees, customers, vendors, investors, and regulators. Executives and managers know that reporting required internally and by third parties and government entities will be sourced from a single, integrated repository lowering the overall cost of compliance while increasing the confidence in reporting.

### NAVEX ESG's Conflict Minerals Solution

NAVEX ESG's Conflict Minerals guides users through the process of working with your supply chain to identify and understand where minerals such as gold, tungsten, tin, tantalum, mica, cobalt, and other minerals are used. The solution provides a complete starting point for assessing the origins of the materials, enabling organizations to analyze sourcing practices, update procurement activities and create timely and accurate reports for RFPs, US or EU regulations, and audits.

#### Assess Your Supply Chain

- » Integrate NAVEX ESG's Conflict Minerals solution with popular ERP and procurement systems such as Oracle NetSuite.
- » Keep track of tasks and assessments. Allow your vendors to or submit standardized reports such as the Conflict Minerals Report (CMR) from the Responsible Minerals Initiative (RMI) using the Conflict Minerals Reporting Template (CMRT).

#### Identify Sourcing Risks and Create Required Reporting

- » NAVEX ESG's Conflict Minerals solution integrates your CMRT and vendor assessments with the RMI database giving you the most up to date insights on potential conflict minerals usage.
- » Automatically create required reports such as the SEC Form SD, company level CMRs or other reports using integrated data within NAVEX ESG.

Feature	Benefit
Import CMRT files from your suppliers and manage version control	Dramatically reduce costs of collecting and managing conflict minerals data and enhance supplier relationships by obtaining and leveraging existing supplier CMRTs.
Export Conflict Minerals Report (CMR) files	Instantly create CMR files for your customers and investors.
Compare Smelters listed on CMRT to the RMI smelter and refinery database	Be confident in supply source status when and after vendors submit their CMRT. NAVEX ESG alerts you to any changes in the compliance status of smelters in your supply chain. You have the information to quickly make compliance and risk-based sourcing decisions.
Create SEC Form SD	Leverage your ongoing responsibility practices and the integrated data to automatically generate regulatory reports, cut costs, and increase confidence.
Follow OECD Due Diligence Guidance	Use NAVEX ESG to attest to your due diligence processes. Auditable results leave no doubt to your efforts' veracity, which cuts audit costs, and provides defensibility to your program.
Dashboard analytics	Understand compliance status and gaps in your responsibility commitments immediately. Be able to identify where process and supply changes need to occur.
Integration with Oracle	Link NAVEX ESG Conflict Minerals to your Oracle NetSuite to identify suppliers.

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## ABOUT NAVEX GLOBAL

NAVEX Global is the worldwide leader in integrated risk and compliance management software and services. Our solutions are trusted by thousands of customers around the globe to help them manage risk, address complex regulatory requirements, build corporate ESG programs and foster ethical workplace cultures. For more information, visit [www.navexglobal.com](http://www.navexglobal.com).