

Corporate Compliance Survey

(2001 COC TRAINING)

Your responses are important to us and will be utilized in determining the future direction of the Corporate Compliance Program. Please take the time to answer openly and honestly.

- 1) How well do you understand our annual Code of Conduct training?
- | Don't understand at all | | | Moderately | | Understand |
|-------------------------|---|--|------------|---|------------|
| 1 | 2 | | 3 | 4 | 5 |

In order of preference, rate the effectiveness of the following types of media used in Code of Conduct Training (1 being the most effective and 4 being the least effective):

	Most Effective		Least Effective	
Vignettes (short video clips)	1	2	3	4
Questions & Answers (Discussion)	1	2	3	4
Overheads	1	2	3	4
Handouts (Code of Conduct Booklet)	1	2	3	4

- 2) How comfortable are you speaking with your management about a compliance issue or concern?
- | Wouldn't call even with a serious concern | | | Comfortable | | Would have no problem calling |
|-------------------------------------------|---|--|-------------|---|-------------------------------|
| 1 | 2 | | 3 | 4 | 5 |

If you rated this question a "1" or a "2," please explain why. _____

- 3) How comfortable are you in calling Corporate Compliance if you have questions or concerns?
- | Wouldn't call even with a serious concern | | | Comfortable | | Would have no problem calling |
|-------------------------------------------|---|--|-------------|---|-------------------------------|
| 1 | 2 | | 3 | 4 | 5 |

If you rated this question a "1" or a "2," please explain why. _____

- 4) How comfortable are you in calling the Corporate Compliance Helpline if you have a question or concern?
- | Wouldn't call even with a serious concern | | | Comfortable | | Would have no problem calling |
|-------------------------------------------|---|--|-------------|---|-------------------------------|
| 1 | 2 | | 3 | 4 | 5 |

If you rated this question a "1" or a "2," please explain why. _____

- 5) How concerned are you that you would be retaliated against for reporting a compliance concern?
- | Not at all concerned | | | Concerned | | Extremely concerned |
|----------------------|---|--|-----------|---|---------------------|
| 1 | 2 | | 3 | 4 | 5 |

On what compliance issues should SCANA provide extra training? _____

Have you observed misconduct in the past year? Yes ☐ Not sure ☐ No ☐
Was it reported? Yes ☐ Not sure ☐ No ☐
What type of misconduct was it? _____
If it was not reported, why not? _____